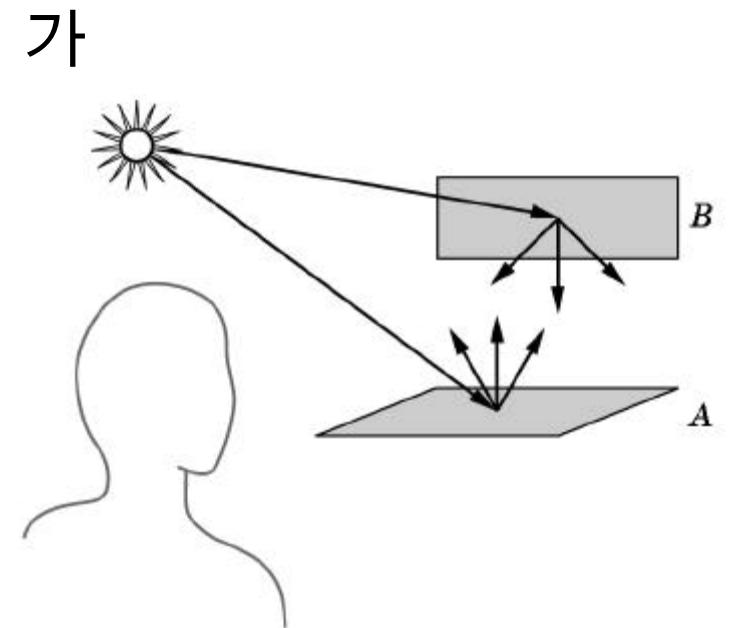


# **Shading**

# Shading

- realistic computer graphics
  - gradation of colors
  - 
  - what is needed ?

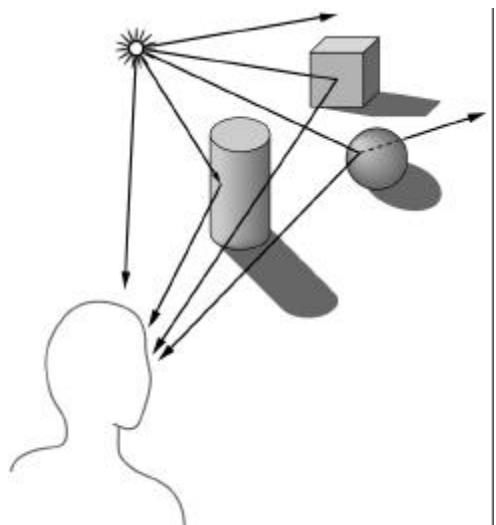


- light :
- matter (= material) :
- optics (      ) or physics

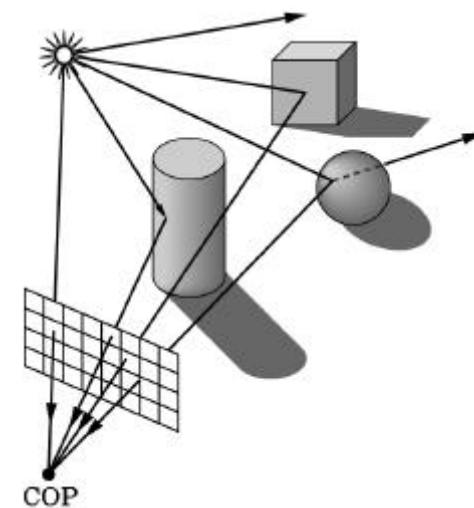
## **6.1 Light and Matter**

# Light and Matter

- Light representation in computer graphics
  - based on three color theory : Red, Green, Blue
- Matter
  - material properties determine which wavelengths are absorbed or reflected to some extent



in real world



in computer graphics

# Rendering Equation

- J. T. Kajiya, “The Rendering Equation”,  
*SIGGRAPH '86*, pp.143–150, (1986).

– computer graphics

rendering

– optics approximation

- rendering equation

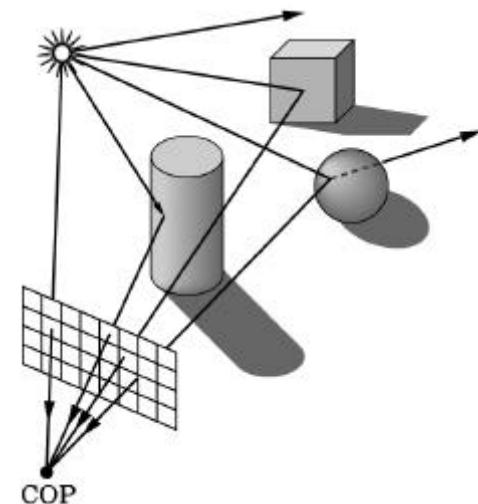
– illumination model

light source, matter,

rendering equation

pixel

가

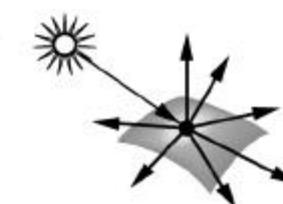


# Illumination Model

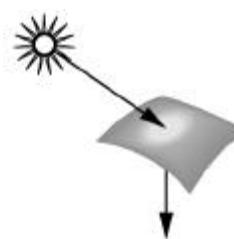
- = shading model, lighting model
  - a method to calculate the intensity of light at a given point on the surface of an object
- Light-Material interactions
  - material ...



(a)



(b)



(c)

specular surface

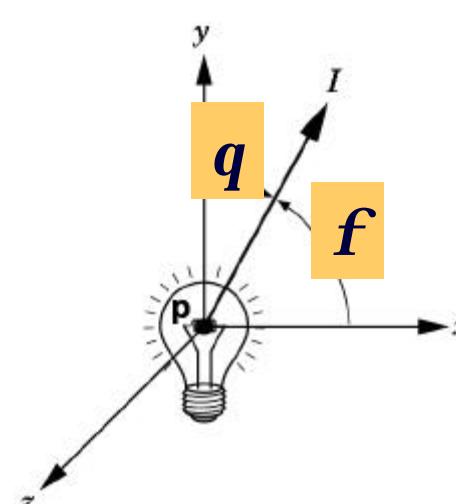
diffuse surface

translucent surface

## **6.2 Light Sources**

# Light Sources

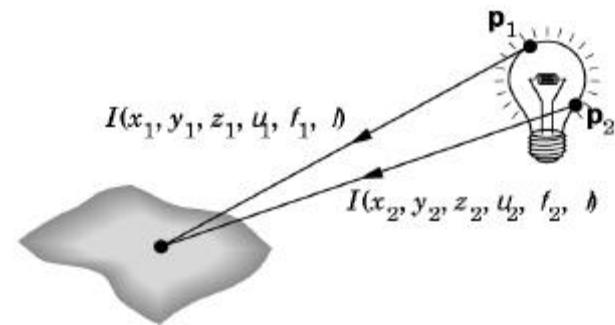
- light
  - self-emission : ( )
  - reflection :
- light source ( 光原)
  - :
  - :
- illumination function  
 $I(x, y, z, \mathbf{q}, \mathbf{f}, \mathbf{l})$ 
  - point  $(x, y, z)$
  - $(\mathbf{q}, \mathbf{f})$  가  
 $\mathbf{l}$



# Light Sources

- additive behavior of light
  - $I$  : integral of all lights
  - color : R, G, B

$$I = \begin{bmatrix} I_r \\ I_g \\ I_b \end{bmatrix} = \begin{bmatrix} I_r(x, y, z, \mathbf{q}, \mathbf{f}, \mathbf{l}) \\ I_g(x, y, z, \mathbf{q}, \mathbf{f}, \mathbf{l}) \\ I_b(x, y, z, \mathbf{q}, \mathbf{f}, \mathbf{l}) \end{bmatrix}$$



- four types of light sources
  - ambient lighting
  - point light source
  - spotlight
  - distant light

# Ambient Light

- uniform lighting (typically in the room)

- the combination of light reflections from various surfaces

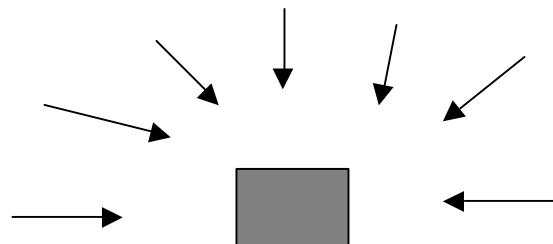
— light

가

– ambient light 가 가 ,

가

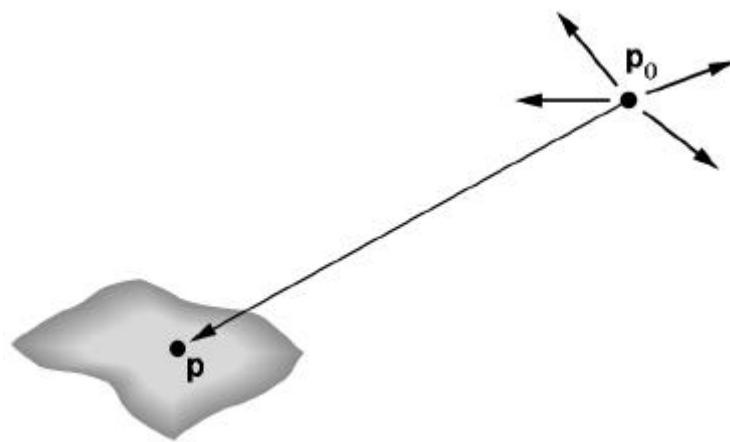
- ambient illumination : a scalar value  $I_a$



# Point Light Sources

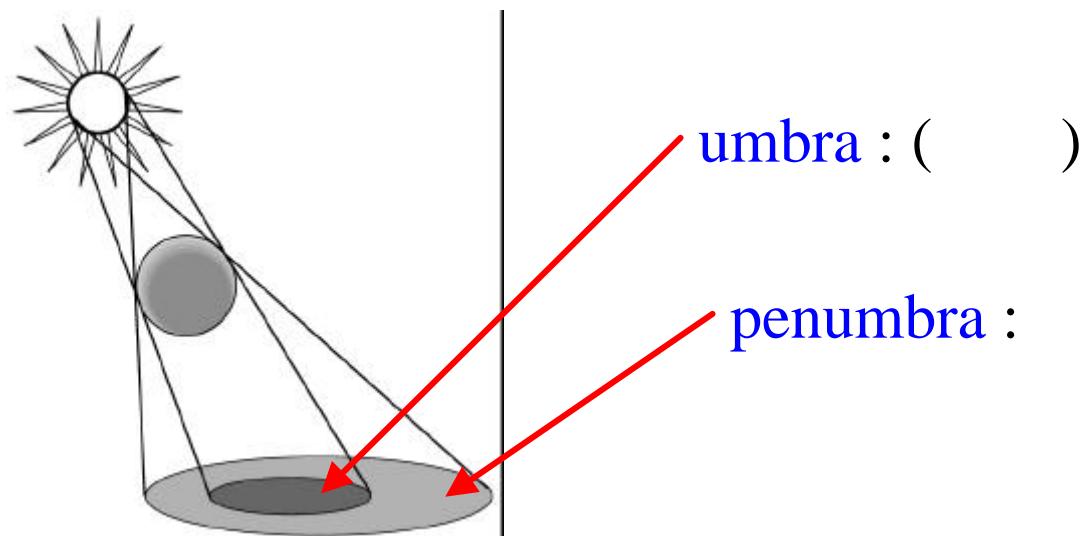
- ideal point light source
  - $\mathbf{p}_0$
  - emits light equally in all directions
- light received from the point light source
  -

$$I(\mathbf{p}, \mathbf{p}_0) = \frac{1}{|\mathbf{p} - \mathbf{p}_0|^2} I(\mathbf{p}_0)$$



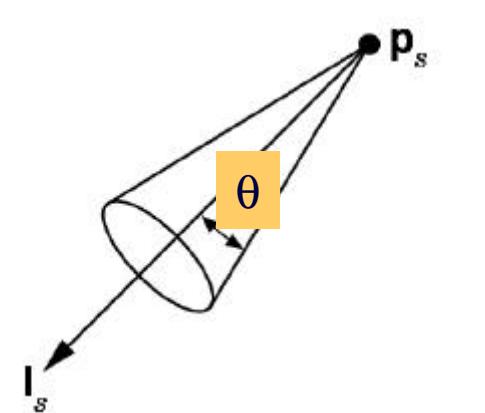
# Point Light Sources

- point light source in real world
  - :
- ideal point light source
  - not point, but **area light source**
  -



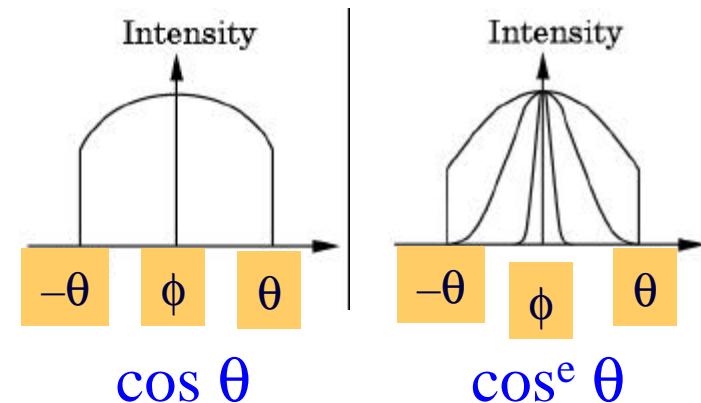
# Spotlights

- cone emit
  - $\mathbf{p}_s$  : apex ( )
  - $\mathbf{I}_s$  : light direction
  - $\theta$  : angle
    - point light source :  $\theta = 180^\circ$



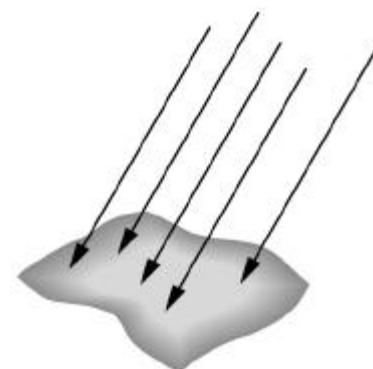
- more realistic case

- $f$
- $\propto \cos^e f$



# Distant Light Sources

- = parallel light source
    - 
    - :
      - :
    - in homogeneous coordinate,



## **6.3 The Phong Reflection Model**

# Phong Reflection Model

- Bui-T. Phong, “Illumination for Computer Generated Pictures”,  
*Comm. ACM*, 18(6):311–317, (1975).
- local illumination model

—

,

.

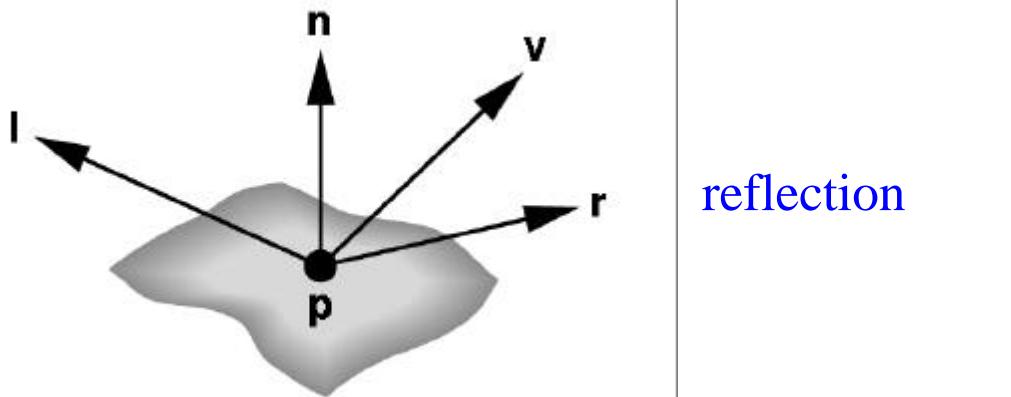
—

가 !

normal vector

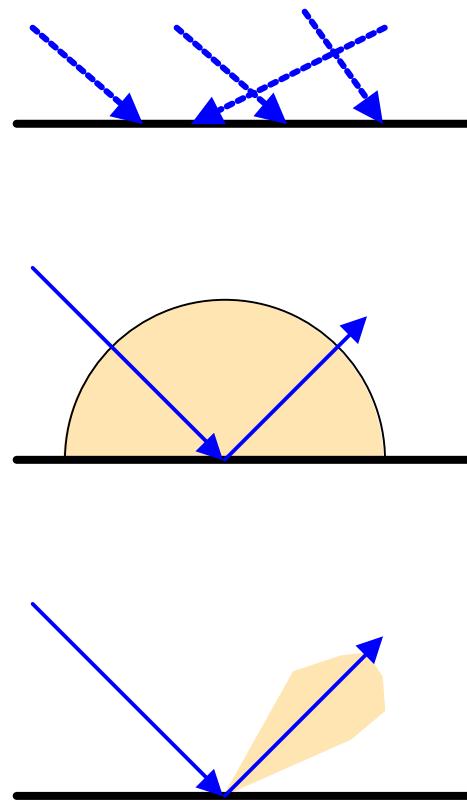
light direction

- ambient term
- diffuse term
- specular term



# Phong Reflection Model

- reflection on the surface
  - ambient illumination
    - indirect reflection
    - approximate
  - diffuse illumination
    -
  - specular illumination
    -

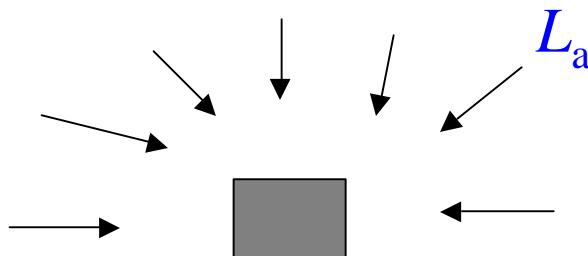


# Ambient Reflection

- ambient reflection  $I_a$ 
  - $\gamma\ddot{a}$ ?
  - a surface that is not exposed directly to a light source still will be visible if nearby objects are illuminated
  - $L_a$  : ambient light
  - $k_a$  : ambient reflection coefficient

$$0 \leq k_a \leq 1$$

$$I_a = k_a L_a$$

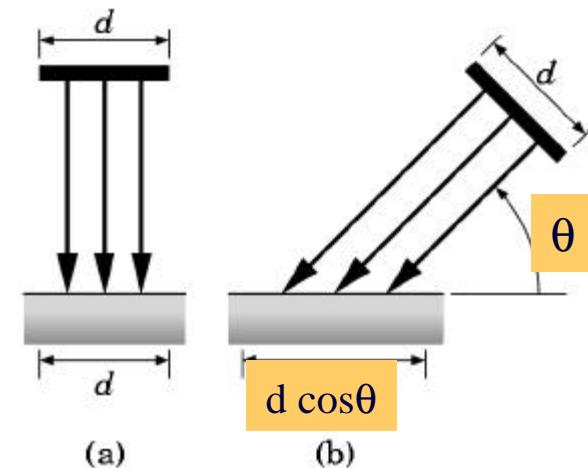
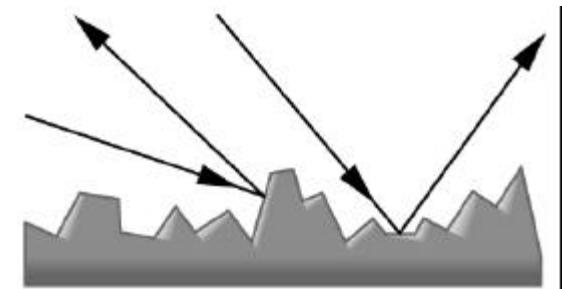


$$I_a = k_a L_a$$

# Diffuse Reflection

- (亂反射)
  - Lambertian surface :
- $I_d = k_d L_d \cos\theta = k_d (\mathbf{l} \cdot \mathbf{n}) L_d$ 
  - $k_d$  : diffuse reflection coefficient
  - $L_d$  : intensity of light source
  - $\mathbf{n}$  : unit normal vector of surface
  - $\mathbf{l}$  : unit light direction vector
- attenuation form :  $I_d(d)$ 
  - $a, b, c$  : constants

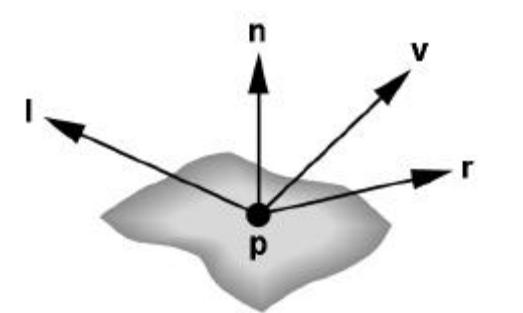
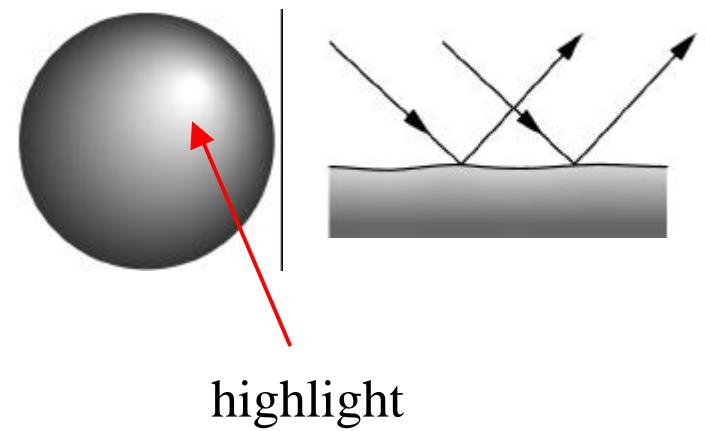
$$I_d = \frac{k_d}{a + bd + cd^2} (\mathbf{l} \cdot \mathbf{n}) L_d$$



# Specular Reflection

- highlight
  - : perfect specular reflection
- $I_s = k_s \cos^\alpha \phi L_s = k_s (\mathbf{r} \cdot \mathbf{v})^\alpha L_s$ 
  - $k_s$  : specular reflection coefficient
  - $L_s$  : intensity of light source
  - $\mathbf{r}$  : unit reflection vector
  - $\mathbf{v}$  : unit view direction vector
  - $\alpha$  : shininess coefficient
- attenuation form :  $(d)$ 
  - $a, b, c$  : constants

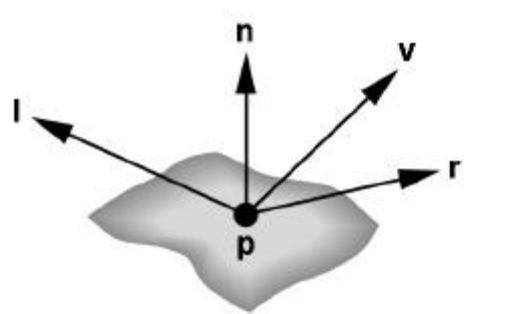
$$I_s = \frac{k_s}{a + bd + cd^2} (\mathbf{r} \cdot \mathbf{v})^a L_s$$



# Phong Reflection Model

- Phong
  - ambient, diffuse, specular term

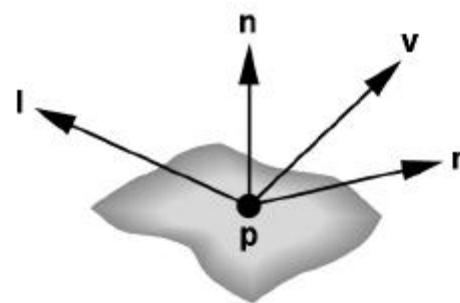
$$\begin{aligned}I &= I_a + I_d + I_s \\&= k_a L_a + \frac{k_d}{a+bd+cd^2} (\mathbf{l} \cdot \mathbf{n}) L_d + \frac{k_s}{a+bd+cd^2} (\mathbf{r} \cdot \mathbf{v})^a L_s \\&= \frac{1}{a+bd+cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a\end{aligned}$$



## **6.4 Computation of Vectors**

# Normal Vector

- Phong shading model



- $\mathbf{n}$  : surface normal vector

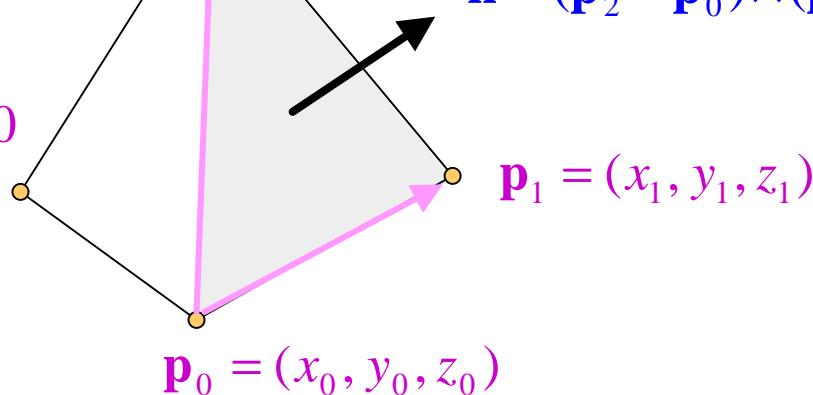
- polygonal model

surface equation

$$ax + by + cz + d = 0$$

$$\mathbf{p}_2 = (x_2, y_2, z_2)$$

$$\mathbf{n} = (\mathbf{p}_2 - \mathbf{p}_0) \times (\mathbf{p}_1 - \mathbf{p}_0) = \begin{bmatrix} a \\ b \\ c \end{bmatrix}$$

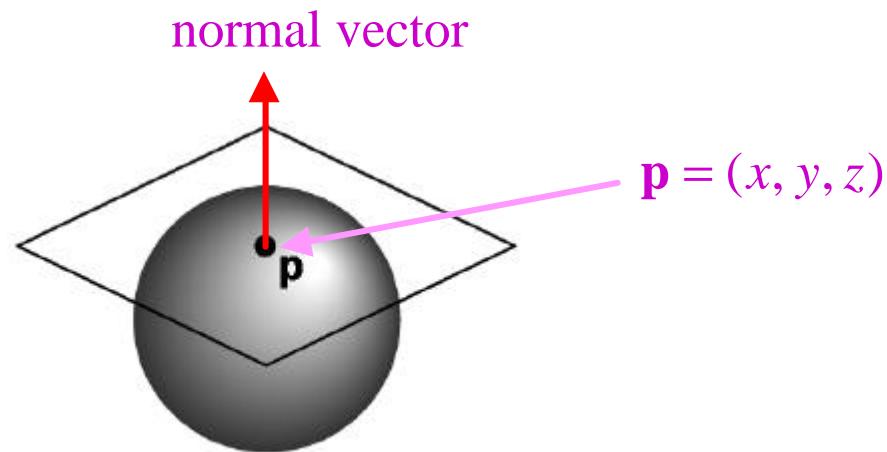


# Normal Vector

- implicit equation :
  - : unit sphere

$$f(x, y, z) = x^2 + y^2 + z^2 - 1 = 0$$

$$\mathbf{n} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \\ \frac{\partial f}{\partial z} \end{bmatrix}$$



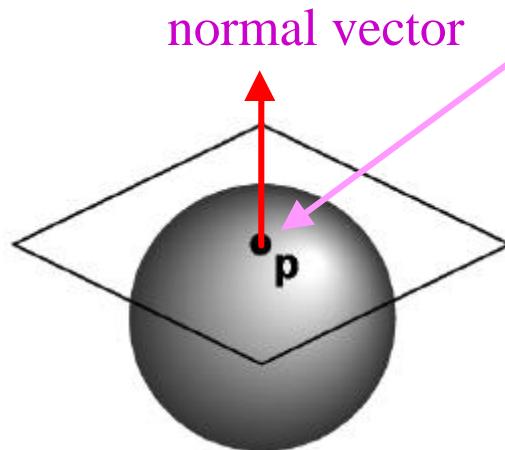
# Normal Vector

- parametric equation :

$$x(u, v) = \cos u \sin v$$

$$y(u, v) = \cos u \cos v$$

$$z(u, v) = \sin u$$



$$\mathbf{p}(u, v) = \begin{bmatrix} x(u, v) \\ y(u, v) \\ z(u, v) \end{bmatrix}$$

$$\frac{\partial \mathbf{p}}{\partial u} = \begin{bmatrix} \frac{\partial x}{\partial u} \\ \frac{\partial y}{\partial u} \\ \frac{\partial z}{\partial u} \end{bmatrix}, \quad \frac{\partial \mathbf{p}}{\partial v} = \begin{bmatrix} \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial v} \\ \frac{\partial z}{\partial v} \end{bmatrix}$$

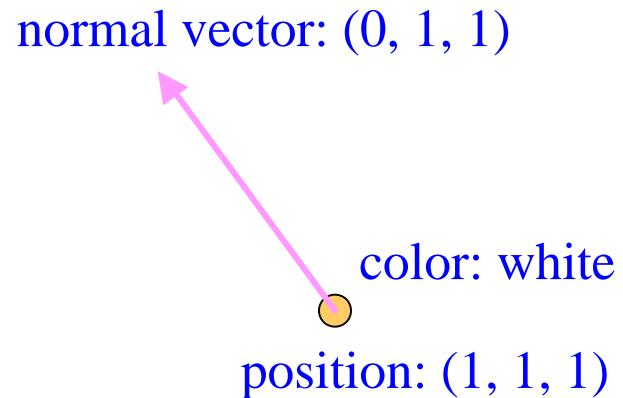
$$\mathbf{n} = \frac{\partial \mathbf{p}}{\partial u} \times \frac{\partial \mathbf{p}}{\partial v}$$

# Normal Vector

- in OpenGL
  - `void glNormal3f(float x, float y, float z);`
  - `void glNormal3fv(float v[3]);`
    - use the normal vector for a vertex

- example

```
glBegin(GL_QUADS);
    glColor3f(1.0, 1.0, 1.0);
    glNormal3f(0.0, 1.0, 1.0);
    glVertex3f(1.0, 1.0, 1.0);
    ...
    glEnd(GL_QUADS);
```



# Reflection Vector

- light direction  $\mathbf{l}$ :
- reflection vector  $\mathbf{r}$ :
- $\mathbf{l}, \mathbf{n}, \mathbf{r}$  normalize

$$|\mathbf{l}| = |\mathbf{n}| = |\mathbf{r}| = 1$$

$$\cos q_i = \cos q_r \Rightarrow \cos q_i = \cos q_r$$

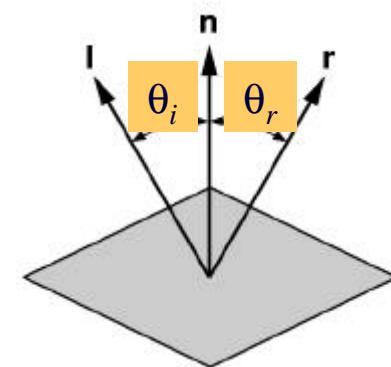
$$\cos q_i = \mathbf{l} \cdot \mathbf{n} = \cos q_r = \mathbf{n} \cdot \mathbf{r}$$

$$\text{let } \mathbf{r} = a\mathbf{l} + b\mathbf{n}$$

$$\mathbf{n} \cdot \mathbf{r} = a\mathbf{l} \cdot \mathbf{n} + b = \mathbf{l} \cdot \mathbf{n}$$

$$\mathbf{r} \cdot \mathbf{r} = a^2 + 2ab\mathbf{l} \cdot \mathbf{n} + b^2$$

$$\mathbf{r} = 2(\mathbf{l} \cdot \mathbf{n})\mathbf{n} - \mathbf{l}$$



# Halfway Vector

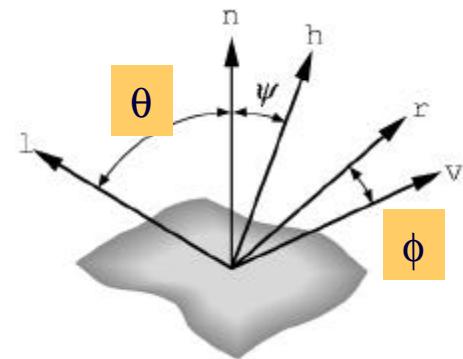
- J. Blinn, “Models of Light Reflection For Computer Synthesized Pictures”, *SIGGRAPH’77*, 192–198, (1977).
- specular reflection :  $I_s = k_s \cos^\alpha \phi L_s = k_s (\mathbf{r} \cdot \mathbf{v})^\alpha L_s$
- halfway vector  $\mathbf{h}$  :

$$\mathbf{h} = \frac{\mathbf{l} + \mathbf{v}}{|\mathbf{l} + \mathbf{v}|}$$

$$\mathbf{r} \cdot \mathbf{v} = \cos f = \cos 2y$$

$$\mathbf{n} \cdot \mathbf{h} = \cos y < \cos f$$

...



- $(\mathbf{r} \cdot \mathbf{v})^\alpha \approx (\mathbf{n} \cdot \mathbf{h})^{\alpha'}$  ,  $\alpha'$

– approximation

,

– ↗

–

# Transmitted Light

- (refraction)

— ,

- Snell's law :

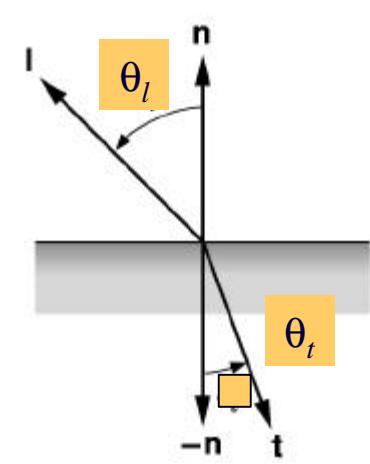
$$\frac{\sin q_l}{\sin q_t} = \frac{h_t}{h_l} = h$$

—  $\eta_l, \eta_t$  : index of refraction ( )

$$\cos q_t = \sqrt{1 - \frac{1}{h^2} (1 - \cos^2 q_l)}$$

$$t = a \mathbf{n} + b \mathbf{l}$$

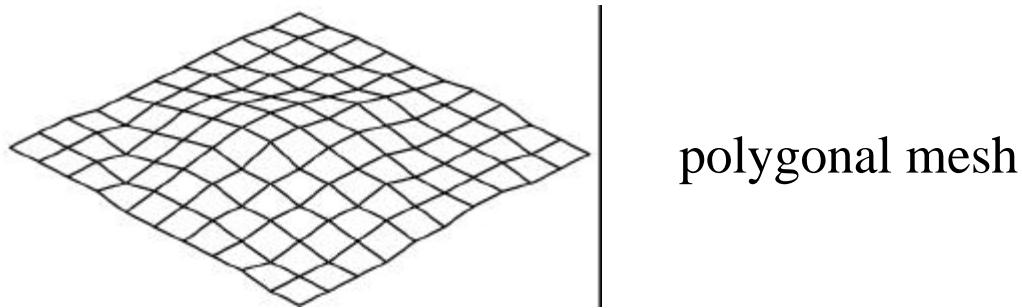
$$t = -\frac{1}{h} \mathbf{l} - \left( \cos q_t - \frac{1}{h} \cos q_l \right) \mathbf{n}$$



## **6.5 Polygonal Shading**

# Polygon Shading

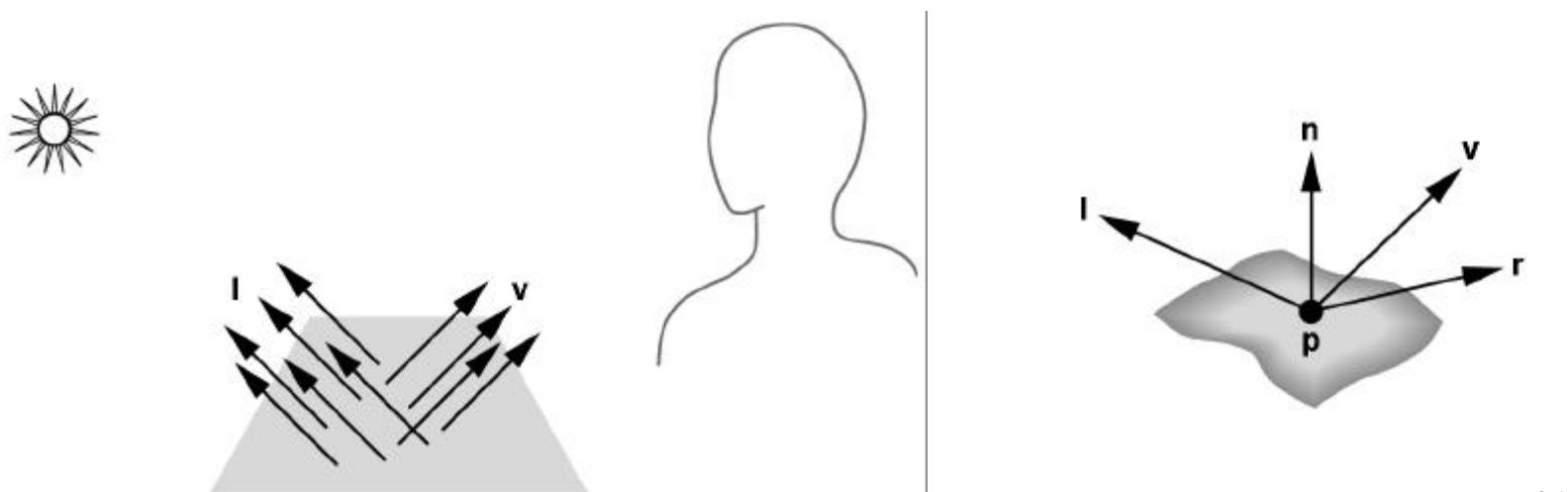
- polygonal object shading
  - 가 polygonal object



- 가 ,
  - flat shading
  - Gouraud shading
  - Phong shading

# Flat Shading

- = constant shading
  - 가 1: flat polygon     $n = \text{constant}$
  - 가 2: distant viewer     $v = \text{constant}$
  - 가 3: distant light     $l = \text{constant}, r = \text{constant}$
  - , polygon shading 가

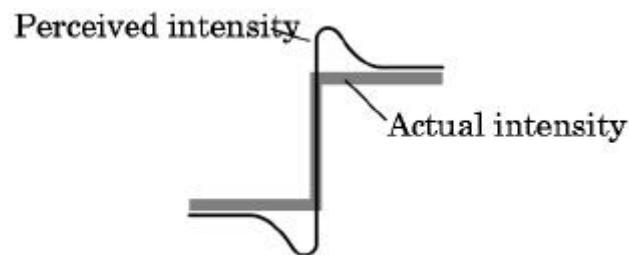
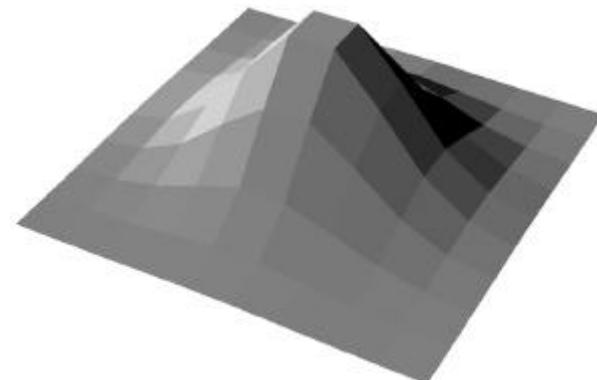


# Flat Shading

- shading Phong model

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$

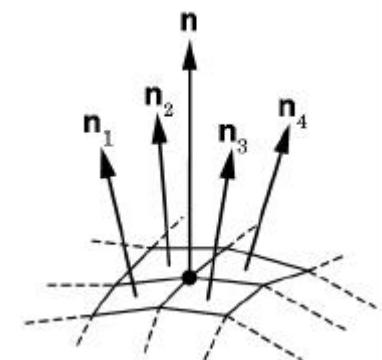
- in OpenGL  
`glShadeModel(GL_FLAT);`
- : Mach band effect
  - polygon



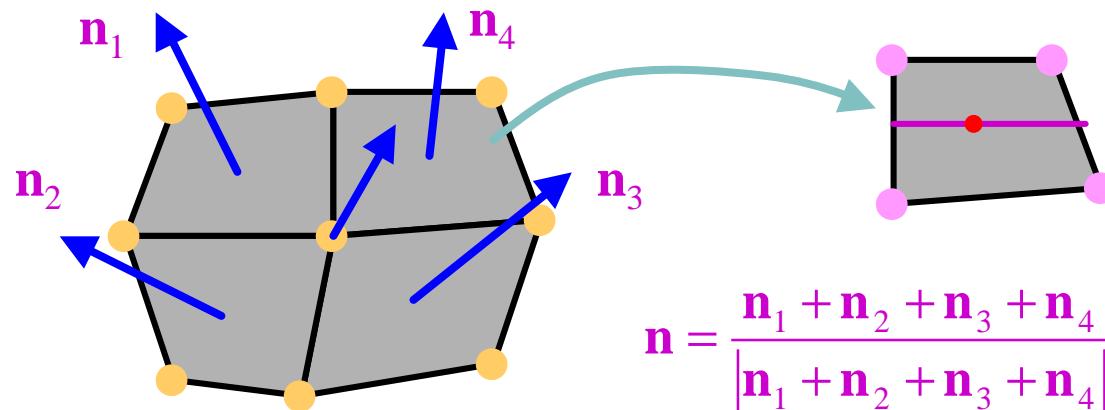
# Gouraud Shading

- = interpolative shading
- Mach band effect
  - vertex normal
  - face              normal

?



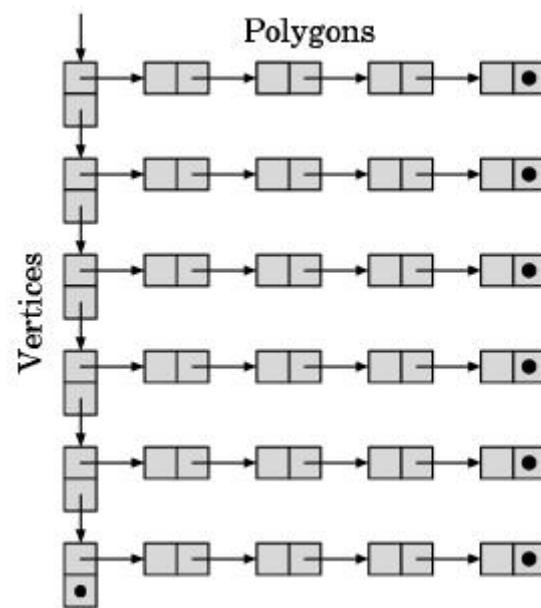
– face              vertex  
shading  
bi-linear interpolation



$$\mathbf{n} = \frac{\mathbf{n}_1 + \mathbf{n}_2 + \mathbf{n}_3 + \mathbf{n}_4}{|\mathbf{n}_1 + \mathbf{n}_2 + \mathbf{n}_3 + \mathbf{n}_4|}$$

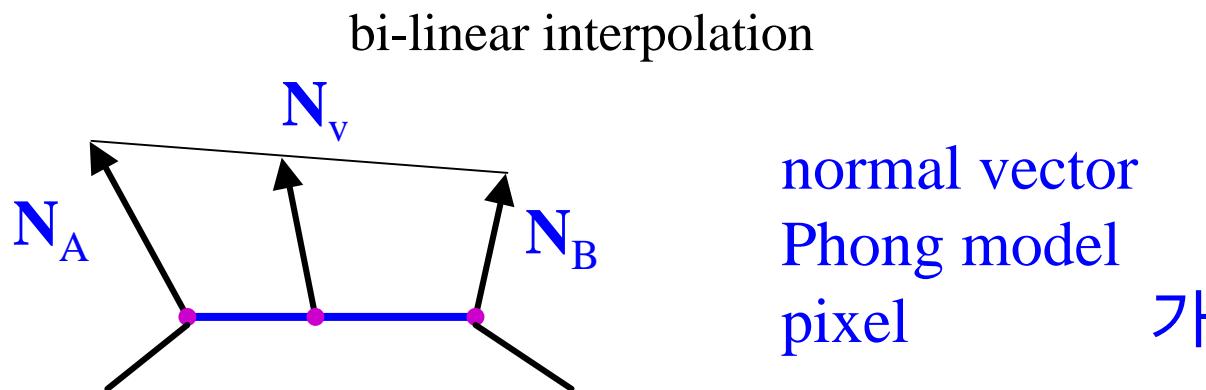
# Gouraud Shading

- in OpenGL  
`glShadeModel(GL_SMOOTH);`
- - vertex normal data structure
  - , linked list



# Phong Shading

- Gouraud shading
  - vertex shading interpolate
  - highlight 가
- Phong shading : normal vector interpolate
  - shading pixel
  -



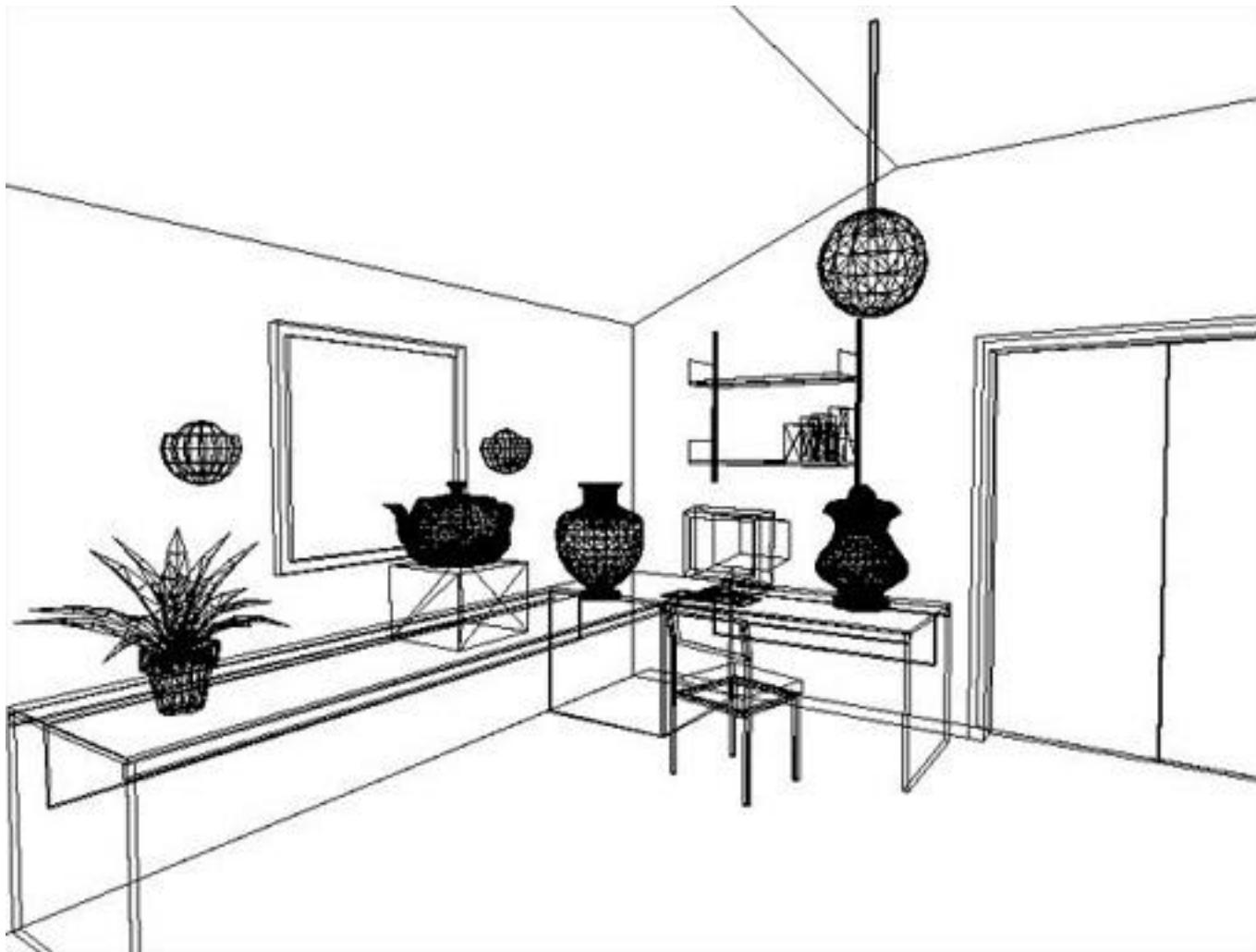
normal vector  
Phong model  
pixel 가

,

# Rendering Options

- graphics package
  - quality vs. speed trade-off
- wireframe edge
- flat-shading face
- Gouraud shading
- Phong shading
- mixed shading
  - non-specular object : Gouraud shading
  - specular object : Phong shading

# Wire-frame



# Ambient Illumination Only



# Flat-Shading



# Gouraud Shading



# Phong Shading



## **6.6 Approximation of a Sphere**

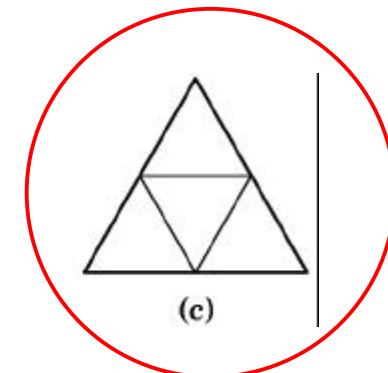
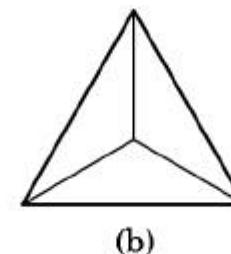
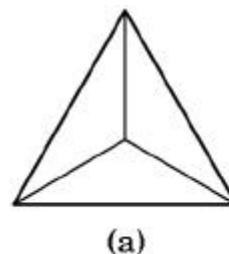
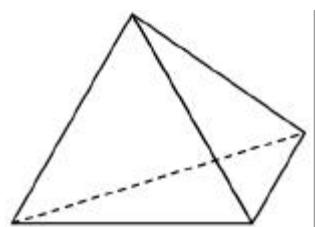
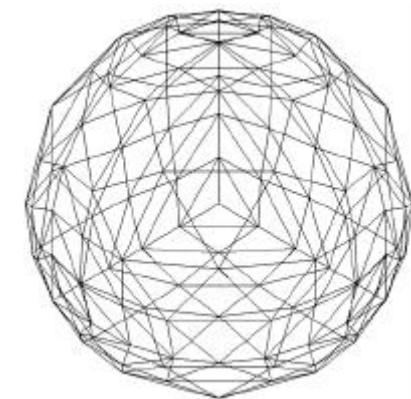
# Unit Sphere

- implicit equation  $\Rightarrow$  polygonal approximation

$$f(x, y, z) = x^2 + y^2 + z^2 - 1 = 0$$

- recursion

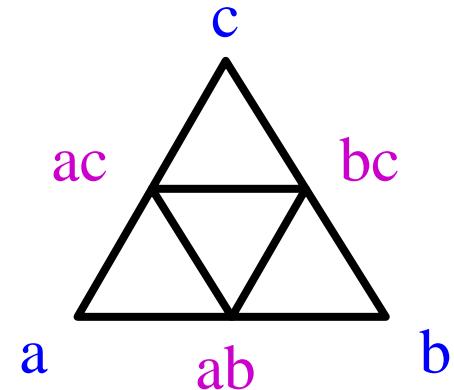
- : tetrahedron
  - face subdivision



# Unit Sphere

- pseudo code

```
void triangle(vertex a, vertex b, vertex c, int level) {  
    if (level >= limit) {  
        draw_triangle(a, b, c);  
        return;  
    }  
    vertex ab = normalize( (a + b) / 2 );  
    vertex bc = normalize( (b + c) / 2 );  
    vertex ac = normalize( (a + c) / 2 );  
    triangle(a, ab, ac);  
    triangle(ab, b, bc);  
    triangle(c, ac, bc);  
    triangle(ab, bc, ac);  
}
```



## **6.7 Light Sources in OpenGL**

# Light Sources in OpenGL

- Phong shading model

$$\begin{aligned}I &= I_a + I_d + I_s \\&= k_a L_a + \frac{k_d}{a+bd+cd^2} (\mathbf{l} \cdot \mathbf{n}) L_d + \frac{k_s}{a+bd+cd^2} (\mathbf{r} \cdot \mathbf{v})^a L_s \\&= \frac{1}{a+bd+cd^2} (k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a) + k_a L_a\end{aligned}$$

- at least 8 light sources
    - GL\_LIGHT0, ..., GL\_LIGHT7
- ```
glEnable(GL_LIGHTING);      // light source
glEnable(GL_LIGHT0);        // light source #0 on
```

# Light Sources in OpenGL

- light source

```
void glLightf(GLenum light, GLenum name, GLfloat value);
```

```
void glLightfv(GLenum light, GLenum name, GLfloat* values);
```

- light source position (or direction)

```
glLightfv(GL_LIGHT0, GL_POSITION, light0_pos);
```

- point light source, spotlight : position

```
GLfloat light0_pos[ ] = { 1.0, 2.0, 3.0, 1.0 };
```

- distant light source : direction vector

```
GLfloat light0_pos[ ] = { 1.0, 2.0, 3.0, 0.0 };
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$

# Light Sources in OpenGL

- the amount of ambient, diffuse, specular light

```
GLfloat ambient_0[ ] = {1.0, 0.0, 0.0, 1.0}; // RGBA rep.
```

```
glLightfv(GL_LIGHT0, GL_AMBIENT, ambient_0);
```

```
glLightfv(GL_LIGHT0, GL_DIFFUSE, diffuse_0);
```

```
glLightfv(GL_LIGHT0, GL_SPECULAR, specular_0);
```

- global ambient light (if necessary)

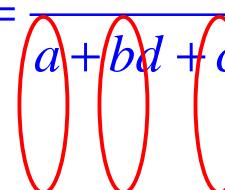
```
glLightModelfv(GL_LIGHT_MODEL_AMBIENT, global_ambient);
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$

# Light Sources in OpenGL

- distance attenuation model

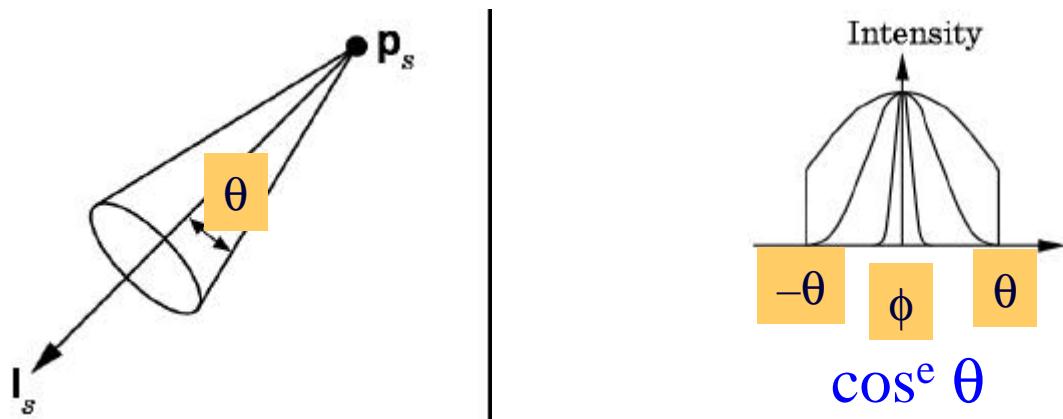
```
glLightf(GL_LIGHT0, GL_CONSTANT_ATTENUATION, a);  
glLightf(GL_LIGHT0, GL_LINEAR_ATTENUATION, b);  
glLightf(GL_LIGHT0, GL_QUADRATIC_ATTENUATION, c);
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$


# Light Sources in OpenGL

- spot light

```
glLightf(GL_LIGHT0, GL_SPOT_CUTOFF, 30.0F);  
glLightf(GL_LIGHT0, GL_SPOT_EXPONENT, e);  
glLightfv(GL_LIGHT0, GL_SPOT_DIRECTION, vector);
```



# Light Sources in OpenGL

- viewer location

- default: infinite position (COP)

- COP 가

- , shading v

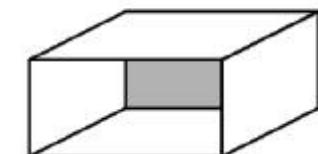
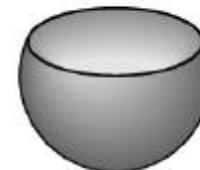
```
glLightModeli(GL_LIGHT_MODEL_LOCAL_VIEWER, GL_TRUE);
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$

- two-side shading

- back face shading

```
glLightModeli(GL_LIGHT_MODEL_TWO_SIDED, GL_TRUE);
```



## **6.8 Specification of Materials in OpenGL**

# Materials in OpenGL

- material functions

```
void glMaterialf(GLenum face, GLenum name, GLfloat value);
```

- face 가
- face = GL\_FRONT, GL\_BACK, GL\_FRONT\_AND\_BACK

```
GLfloat ambient[ ] = {0.2, 0.2, 0.2, 1.0}
```

```
glMaterialfv(GL_FRONT_AND_BACK, GL_AMBIENT, ambient);
```

```
glMaterialfv(GL_FRONT, GL_DIFFUSE, diffuse);
```

```
glMaterialfv(GL_BACK, GL_SPECULAR, specular);
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$

# Materials in OpenGL

- shininess

```
glMaterialf(GL_BACK, GL_SHININESS, 100.0);
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a$$

- self-emission

– surface 가

```
GLfloat emission[ ] = {0.0, 0.3, 0.3, 1.0};
```

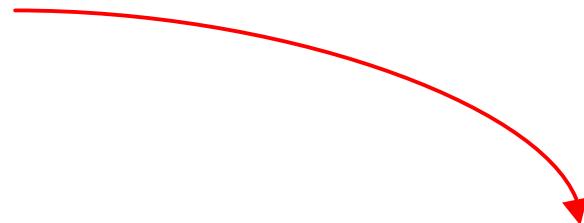
```
glMaterialf(GL_FRONT_AND_BACK, GL_EMISSION, emission);
```

$$I = \frac{1}{a + bd + cd^2} \left( k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^a \right) + k_a L_a + emission$$

# Materials in OpenGL

- color tracking
  - set the materials parameters by tracking the colors set by glColor\*( )  
`glEnable(GL_COLOR_MATERIAL);`  
`glColorMaterial(GL_FRONT, GL_AMBIENT_AND_DIFFUSE);`

`glColor4fv(color);`

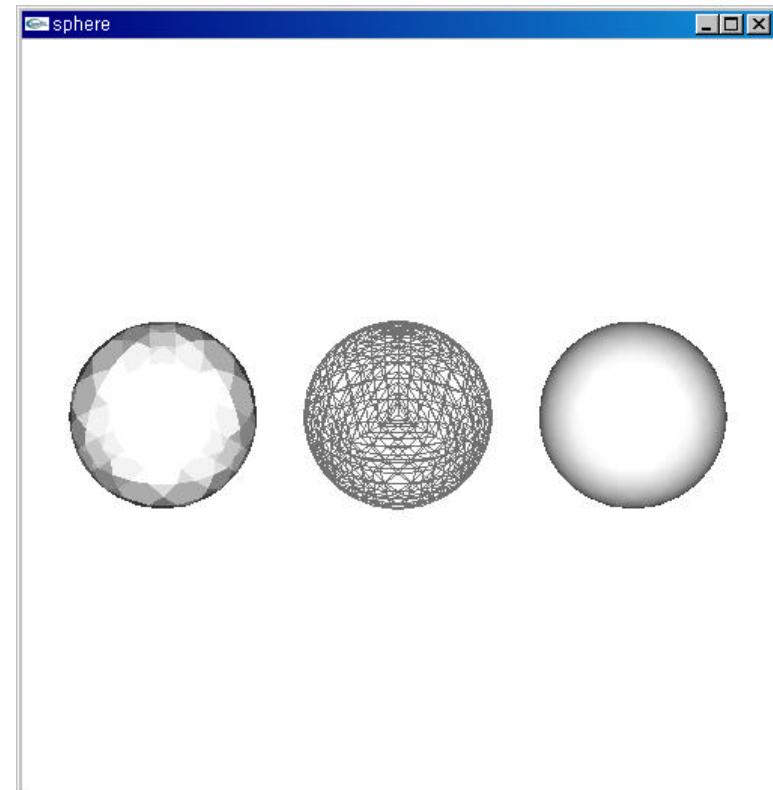


`glMaterialfv(GL_FRONT, GL_AMBIENT, color);`  
`glMaterialfv(GL_FRONT, GL_DIFFUSE, color);`

## **6.9 Shading of the Sphere Model**

# Unit Sphere Shading

- 2가지 shading model 가
  - flat shading  
`glShadeModel(GL_FLAT);`
  - Gouraud shading  
`glShadeModel(GL_SMOOTH);`
- - sphere.c



## **6.10 Global Rendering**

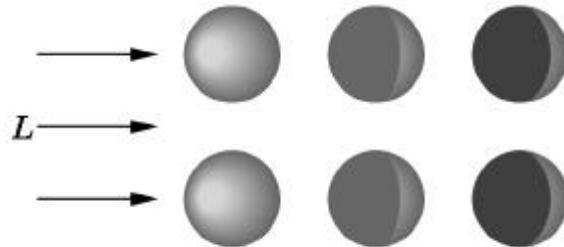
# Local and Global Illumination

- local illumination : Phong shading model

—

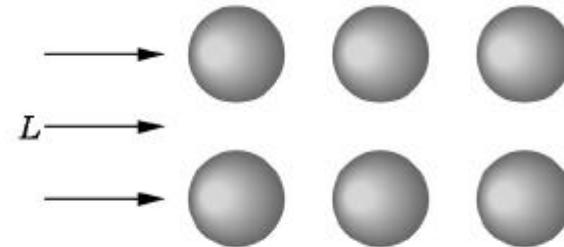
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(a)

global illumination



(b)

local illumination

- global illumination

—

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Ray Tracing : specular,

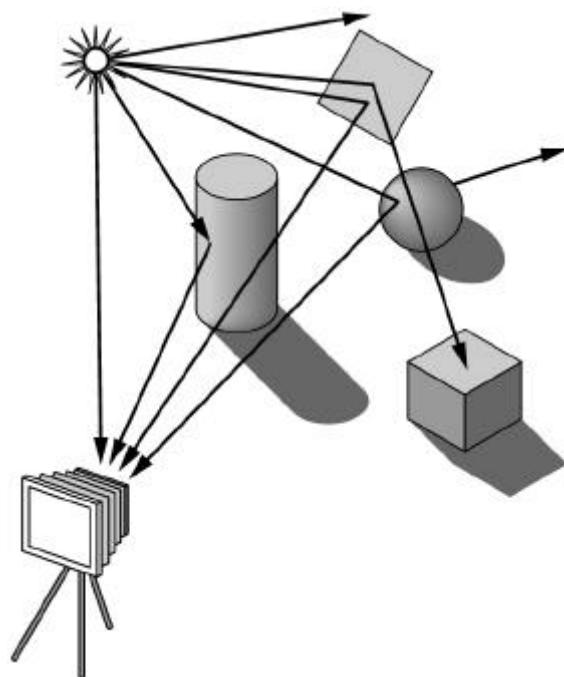
— Radiosity : diffuse

가

# Ray Tracing

- forward ray tracing :

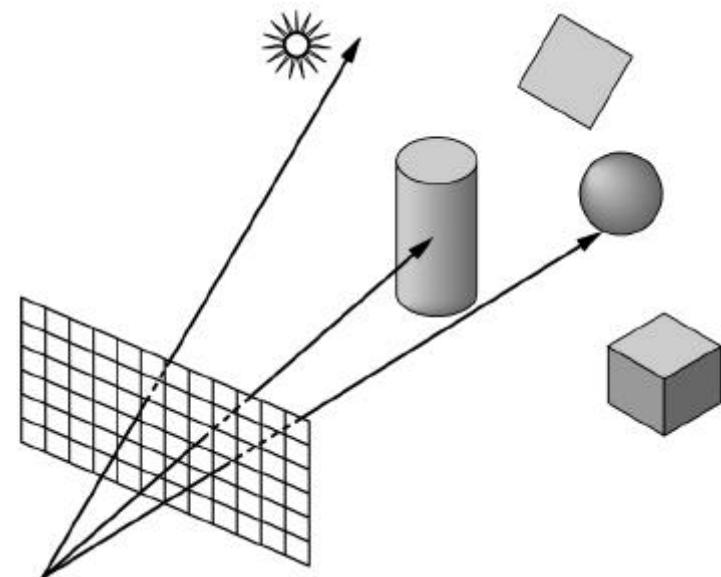
—  $\Rightarrow$   $\Rightarrow$



- backward ray tracing

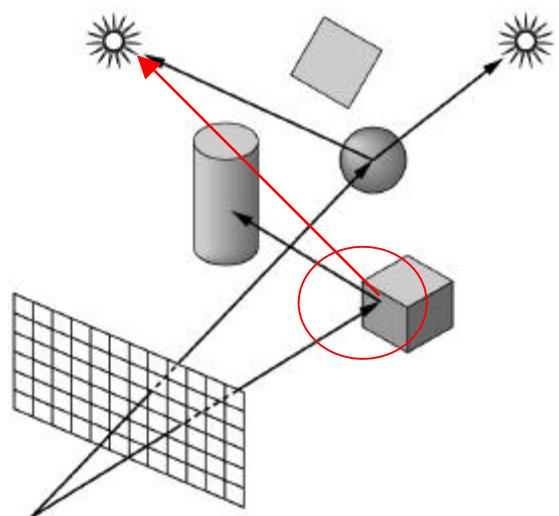
— ,

—  $\Rightarrow$   $\Rightarrow$   $\Rightarrow$



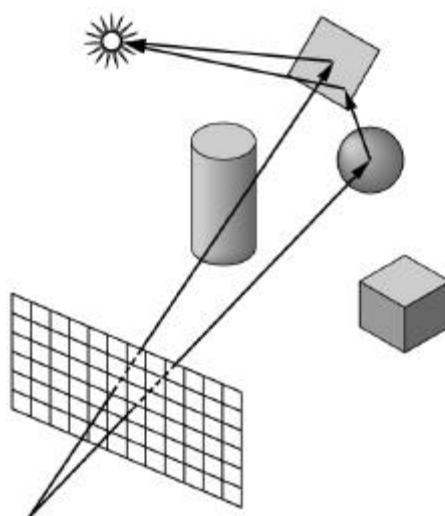
# Ray Tracing

- A. Glassner, *An Introduction to Ray Tracing*, Academic Press, (1989).
- ray trace 가 ,



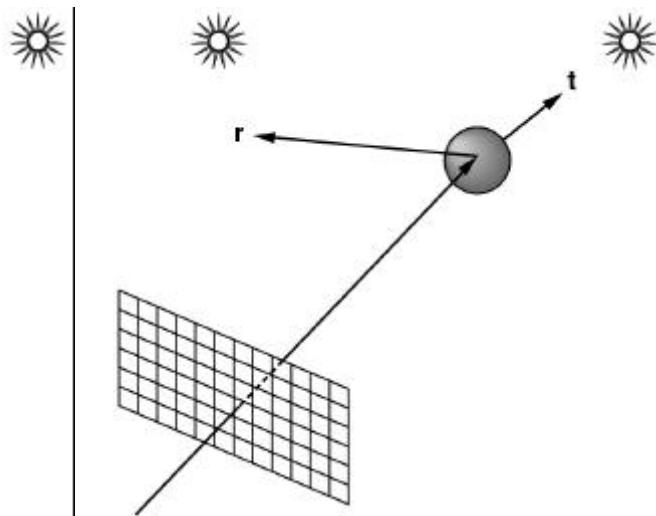
shadow rays

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mirror effect

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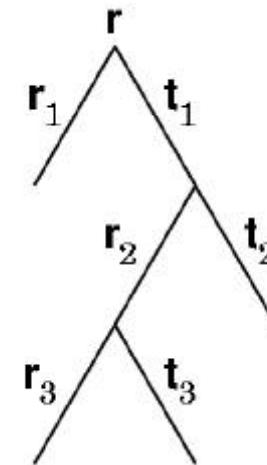
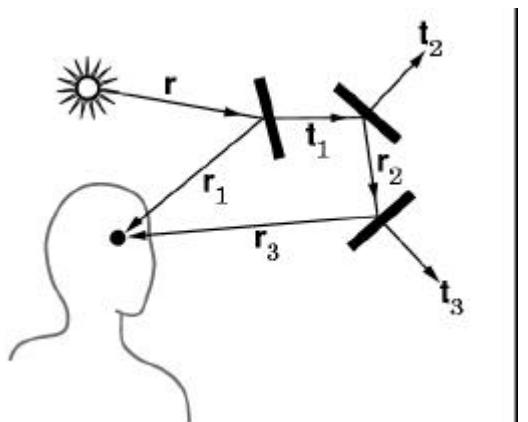


refraction & reflection

가

# Ray Tracing

- screen      pixel      ↗ ray
  - construct a binary ray-tracing tree
    - left branch : reflected ray path
    - right branch : transmitted ray path
    - limit depth
  - tree , pixel

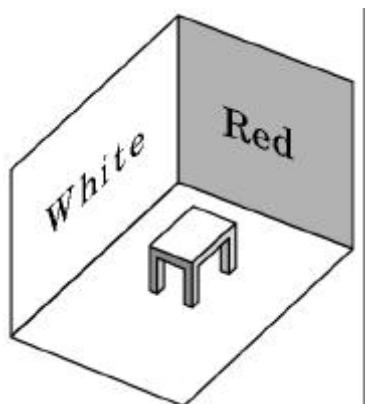


# Ray Tracing



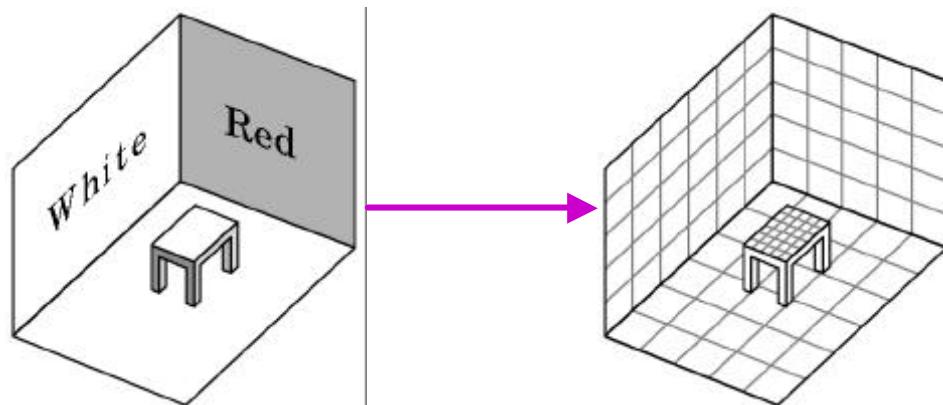
# Radiosity

- C. Goral, et al., “Modelling the Interaction of Light Between Diffuse Surfaces”, *SIGGRAPH’84*, 212–222, (1984).
- Basic idea
  - thermal-engineering models for the emission and reflection of radiation
  - conservation of light energy in a closed environment
    -
  - energy equilibrium



# Radiosity

- real diffuse effect ?
  - surface light interaction modeling
  - surface
- patch :
  - surface patch
  - patch diffuse term
  - form factor :  $\text{patch}_1 \cdot \text{patch}_2 \cdot \dots \cdot \text{patch}_n \cdot \text{energy}$

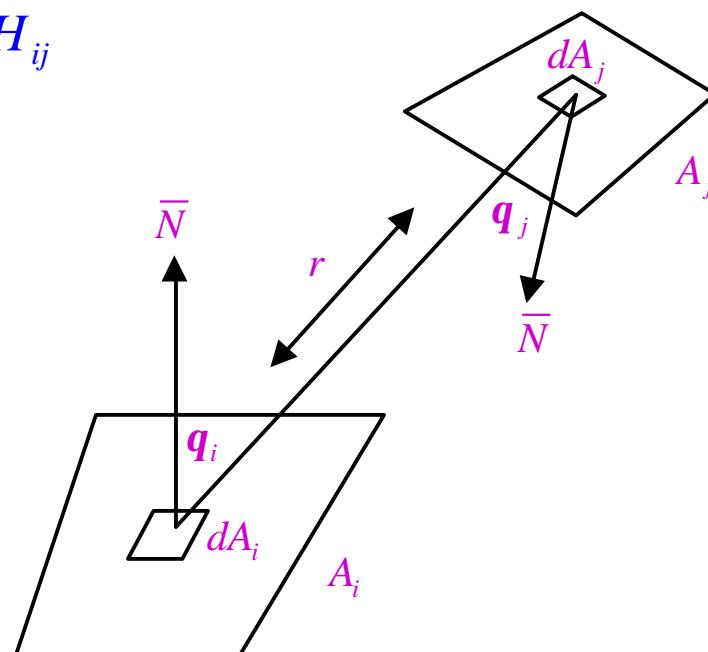


# Radiosity

- form factors

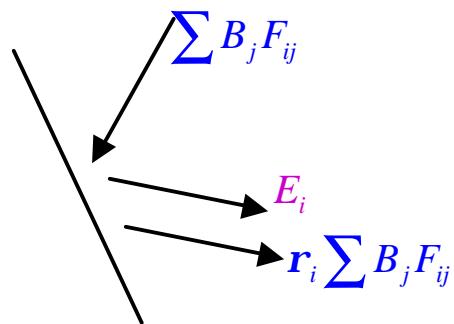
$$F_{ij} = 1/A_i \int \int_{A_i A_j} (\cos \mathbf{q}_i \cos \mathbf{q}_j / (pr^2)) H_{ij} dA_j dA_i$$

$$\approx \int_{A_j} \frac{\cos \mathbf{q}_i \cos \mathbf{q}}{pr^2} dA_j H_{ij}$$



# Radiosity

- energy equilibrium equation



$$B_i A_i = E_i A_i + \mathbf{r}_i \sum_{j=1}^n B_j F_{ji} A_j$$

$$\begin{aligned} F_{ij} A_i &= F_{ji} A_j \\ \therefore B_i &= E_i + \mathbf{r}_i \sum_j B_j F_{ij} \end{aligned}$$

- radiosity  $B_j$ : the rate of energy leaving the surface  $j$
- emission  $E_j$ : the rate of energy emitted from surface  $j$
- reflectivity  $\mathbf{r}_j$ : the fraction of the light incident on a surface  $j$  which is reflected back into the environment
- form-fraction  $F_{ij}$ : the fraction of energy leaving the surface  $i$  and landing on surface  $j$

# Radiosity



# Global Illumination

- ray tracing : specular effect
- radiosity : diffuse effect
- hybrid approach : 2
- ?
  - !
  - video card level
  - 
  -

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